

Annexure

HALF YEARLY REPORTING REQUIREMENTS FOR PORTFOLIO MANAGEMENT ACTIVITIES

1. GENERAL INFORMATION

1.1 Name: Trustline Securities Limited

1.2 Registration no:- INP000004268

1.3 Address (Registered and Correspondence office address with email id.):

Registered Office: C-647, New Friends Colony, New Delhi-110025,
trustline@trustline.in

Correspondence Office: Trustline Tower, B-3, Sector-3, Noida-201301 (U.P.),
trustline@trustline.in

2 CAPITAL ADEQUACY:-

Net worth as on 31/03/2019

The statement of networth of M/S Trustline Securities Limited based on unaudited accounts as on 31/03/2019 (in Rs.2052 lacs (Rupees Two thousand and Fifty Two lacs))

	<i>Amount (In Rs. Lakhs)</i>
Paid up equity capital	2,553
Add: Free reserves (excluding reserves created out of revaluation)	624
Less: Accumulated losses	0
Less: Deferred expenditure not written off (including miscellaneous expenses not written off)	0
Less: Minimum Capital Adequacy/networth requirement for any other activity undertaken under other SEBI regulations	1125
Networth	2052

3. OTHER INFORMATION

3.1 Details of all settled and pending disputes against applicant/ its directors/ Associates

Sr. No	Names of the Party	Nature of dispute	Pending/ settled
NA	NA	NA	NA

3.2 Indictment or involvement in any economic offence during the period.
Not applicable

3.3 Any other information considered relevant to the nature of services rendered by the Portfolio Manager.

No

3.4 List of approved share brokers whose services were utilized for PMS activities and whether any of them were suspended for more than one week / had defaulted with any Stock Exchange authority

Trustline Securities Limited

It was never suspended or had defaulted with any Stock Exchange authority

4. List of Corporate Clients - (with Name and Amount of portfolio fund managed)

Sr. No.	Name of Client	Amount of portfolio fund managed (in Rs. lakhs)
NA	NA	NA

5. Performance of Portfolio Manager in comparison to relevant benchmark indices during the period – (for the categories – Individual clients & corporate clients)

Individual Clients: - N.A. (Since there were no Portfolio Management Services Activities during FY 2018-19)

6. Enclosures:

(1) A certificate duly signed by the Principal Officer stating that the information required under Regulation No. 23(ii) of SEBI (Portfolio Managers) Regulations, 1993 has been reported to SEBI.

(2) A certificate from the auditors relating to Portfolio Management activities as required under Regulation No. 20(2) of SEBI (Portfolio Managers) Regulations, 1993 and management's comments on the adverse remarks if any, made by the auditor.

(3) Corporate Governance report as required under the PMS Circular IMD/PMS/CIR/1/21727/03 dated November 18, 2003.

For Trustline Securities Limited

Vinay Kumar Gupta
Director
Place: Delhi
Date: October 24, 2019

Certificate under Regulation No. 23(ii) of SEBI (Portfolio Managers) Regulations, 1993

This is to certify that there are no changes in the information or particulars furnished by the Portfolio Manager previously.

Certified true copy

For Trustline Securities Limited

Vinay Kumar Gupta
Director
Place: Delhi
Date: October 24, 2019

**CERTIFICATE UNDER REGULATION NO. 20(2) OF SEBI (PORTFOLIO MANAGERS)
REGULATIONS, 1993**

This is to certify that the books of account have been audited yearly and the portfolio manager has followed proper accounting methods and procedures along with performing its duties in accordance with the law.

Certified true copy

For CA. Sunil Patel
Chartered Accountants

Sunil Patel
Proprietor
M. No. 535954

Place: Delhi
Date: October 24, 2019
UDIN:- 19535954AAAABQ4411

CORPORATE GOVERNANCE REPORT

The Corporate Governance Report of the Portfolio Manager may be submitted as follows: -

1. No compliant/grievance of any client has been received during the period
2. The performance of the portfolio of clients has been reviewed on monthly basis, if any.
3. The monthly PMS report has been duly submitted to SEBI on its portal.
4. The performance of the funds managed by Portfolio Manager have been duly reviewed (If any) and corrective action has been taken (If necessary)

Certified true copy

For **Trustline Securities Limited**

Vinay Kumar Gupta

Director

Place: Delhi

Date: October 24, 2019

Date:- October 24, 2019

To,

The Investment Management Department, Division of Funds
Securities and Exchange Board of India
5th Floor, Bank of Baroda Building,
Parliament Street,
New Delhi-110001

Sub: Submission of half yearly report for PMS activities for 30.09.2019

Ref. No: INP00004268

Sir,

With reference to the captioned subject, we hereby submit the halfyearly report to be submitted for the period ending on September 30, 2019 as per SEBI circular no. **IMD/DOF-1/PMS/Cir-1/2010** dated March 15, 2010. The following documents have been enclosed herewith: -

1. Information in specified Annexure
2. Certificate under Regulation No. 23(ii) of SEBI (Portfolio Managers) Regulations, 1993
3. Certificate under regulation no. 20(2) of SEBI (Portfolio Managers) Regulations, 1993
4. Corporate Governance Report
5. Disclosure Document dated October 30, 2019

You are requested to take the same on record and oblige.

Thanking you.

Yours truly,

For Trustline Securities Limited

Vinay Kumar Gupta
Director
Encl:-A/a